

Study of α -Crustacyanin Utilizing
Halogenated CanthaxanthinsJin Liu,^{*,†} Nicole L. Shelton,[†] and Robert S. H. Liu[‡]

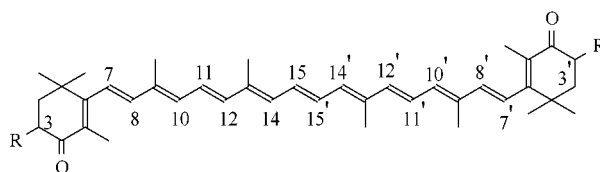
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ABSTRACT



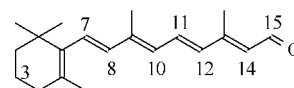
R = OH; Astaxanthin

R = F, Cl, Br, I; Halogenated canthaxanthins

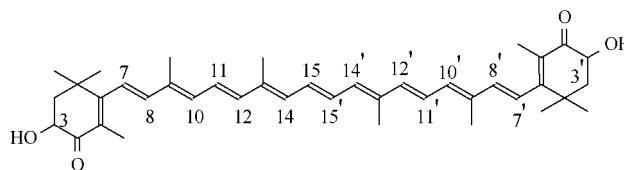
The preparations and spectroscopic characteristics of five all-trans halogenated canthaxanthins are described in this letter. The air/light-sensitive halogenated canthaxanthins were used to study α -crustacyanin, a blue astaxanthin–protein complex, which is isolated from the carapace of the lobster. Steric and electronegative effects of the halogen substituents on the noncovalent interaction between astaxanthin and the protein in α -crustacyanin were observed.

Bacteriorhodopsin,¹ a retinal–protein complex, plays several important biological roles. In recent years, considerable effort has been concentrated on the understanding of astaxanthin–protein complexes. The well-known astaxanthin–protein complexes, crustacyanins, are isolated from the carapace of the lobster *Homarus gammarus*.²

α -Crustacyanin and γ -crustacyanin are two isolated crustacyanins that have a deep blue color. UV–vis absorptions of α -crustacyanin and γ -crustacyanin are 632 and 625 nm, respectively. The unusual characteristic of α -crustacyanin and γ -crustacyanin is the large bathochromic shift (α -crustacyanin, 5100 cm⁻¹; γ -crustacyanin, 5050 cm⁻¹) caused by the noncovalent interaction between astaxanthin and the proteins.³ Because of a lack of the crystal structures of



all-trans-Retinal

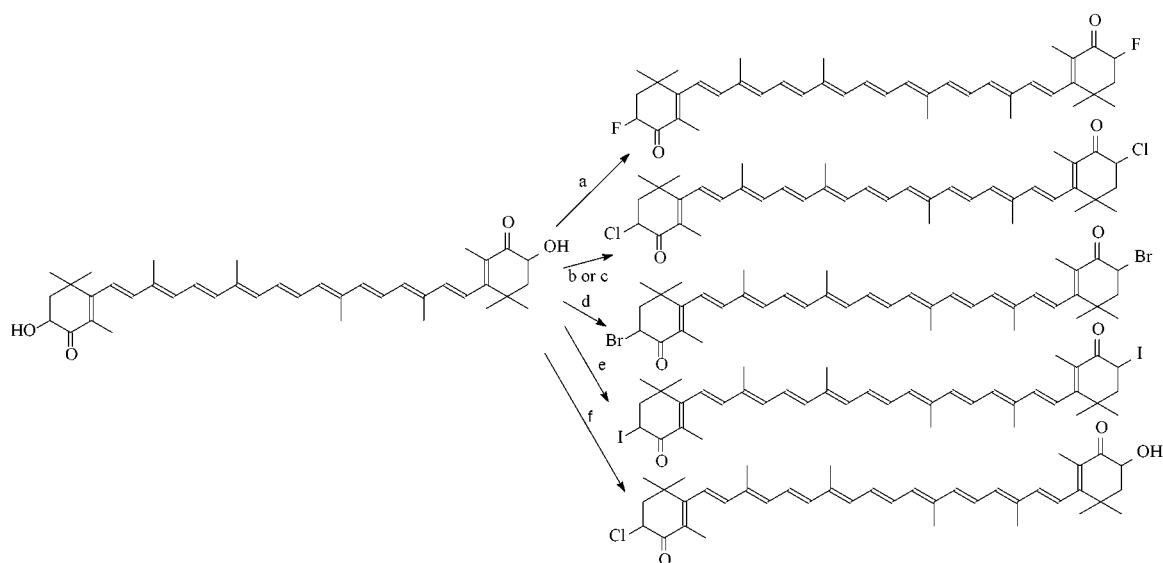


all-trans-Astaxanthin

crustacyanins, some techniques such as solid-state ¹³C NMR,⁴ Stark spectroscopy,⁵ and ¹⁹F NMR⁶ have been used to study the structure of α -crustacyanin to explain its large bathochromic shift. Recombination studies between the colorless

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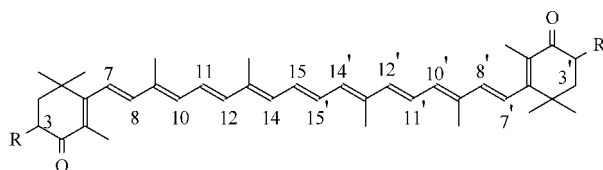
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Scheme 1^a

^a Reagents and conditions: (a) $(\text{CF}_3\text{SO}_2)_2\text{O}$, pyridine, CH_2Cl_2 , then $n\text{-Bu}_4\text{NF}$ in THF, 49% yield; (b) $(\text{CF}_3\text{SO}_2)_2\text{O}$, pyridine, CH_2Cl_2 , then $n\text{-Bu}_4\text{NCl}$ in THF, 50% yield; (c) N,N -dimethyl- N -1-chloro-2-methylpropenylamine, CH_2Cl_2 , 95% yield; (d) $(\text{CF}_3\text{SO}_2)_2\text{O}$, pyridine, CH_2Cl_2 , then NaBr in acetone, 97% yield; (e) $(\text{CF}_3\text{SO}_2)_2\text{O}$, pyridine, CH_2Cl_2 , then NaI in acetone, 98% yield; (f) (i) CH_3COCl , pyridine, CH_2Cl_2 , (ii) N,N -dimethyl- N -1-chloro-2-methylpropenylamine, CH_2Cl_2 , (iii) NaOCH_3 , CH_3OH , 61% yield.

apoprotein of crustacyanin and various carotenoids have revealed that the 4,4'-keto groups are essential for reconstituting the blue crustacyanin.³ The unique solid-state ^{13}C NMR study of α -crustacyanin reported by Lugtenburg and co-workers supported the idea that the 4,4'-carbonyl groups of astaxanthin played a crucial role in the noncovalent interaction between astaxanthin and the protein. Unfortunately, the resonance signals from the 4,4'- ^{13}C -labeled astaxanthin in the reconstituted α -crustacyanin were weaker than regular ^{13}C resonance signals.⁷

Halogenated retinal analogues have been demonstrated to be useful synthetic chromophores in a number of bacteriorhodopsin studies.^{8–10} In an effort to understand the important role of the 4,4'-carbonyl groups of astaxanthin in crustacyanins, we decided to introduce four different halogen atoms at the 3- and 3'-positions of astaxanthin and to examine the effects of the size and electronegativity of halogen atoms on the noncovalent interactions between astaxanthin and the proteins.



R = OH, Astaxanthin;
 R = H, Canthaxanthin;
 R = F, 3,3'-Difluorocanthaxanthin;
 R = Cl, 3,3'-Dichlorocanthaxanthin;
 R = Br, 3,3'-Dibromocanthaxanthin;
 R = I, 3,3'-Diiodocanthaxanthin;
 R = Cl and OH, 3-Chloro-3'-hydroxycanthaxanthin.

The strategy for introducing halogen substitutes at the 3- and 3'-positions is shown in Scheme 1. The 3- and 3'-hydroxy groups of all-trans astaxanthin were reacted with triflic anhydride and pyridine in CH_2Cl_2 to afford a bis-triflate. Upon treatment with 2 equiv of $n\text{-Bu}_4\text{NF}$ in THF, the bis-triflate was converted into 3,3'-difluorocanthaxanthin. Also, 3,3'-dichlorocanthaxanthin, 3,3'-dibromocanthaxanthin, and 3,3'-diiodocanthaxanthin were prepared by treatment of the bis-triflate with 2 equiv of $n\text{-Bu}_4\text{NCl}$ in THF, 3 equiv of NaBr, and 3 equiv of NaI in acetone, respectively. The yields of the bromination and the iodination were almost quantitative. The yields of the chlorination and fluorination were ~50%. The low yields were due to the formation of an elimination product.

To improve the yield of the chlorination, astaxanthin was reacted with N,N -dimethyl- N -1-chloro-2-methylpropenylamine in CH_2Cl_2 at room temperature to give the desired product in a good yield (>95%).¹¹ However, treatment of astaxanthin with N,N -dimethyl- N -1-fluoro-2-methylpropenylamine failed to give 3,3'-difluorocanthaxanthin ascribed to the highly electronegative fluorine atom. The starting material, the elimination product, and other side products were recovered after the reaction. Diethylaminosulfurtrifluoride (DAST) has been proved to be a versatile reagent

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Table 1. Partial ^1H NMR Data and UV–vis Absorption Maxima of the Five Halogenated Canthaxanthins

compounds	H-3,3' ^a	H-7,7'	H-8,8'	H-10,10'	H-11,11'	H-12,12'
astaxanthin	4.31	6.21	6.43	6.30	6.66	6.45
3,3'-diF	5.09	6.19	6.43	6.30	6.65	6.44
3,3'-diCl	4.71	6.18	6.42	6.30	6.65	6.44
3,3'-diBr	4.91	6.18	6.42	6.30	6.65	6.45
3,3'-diI	5.27	6.17	6.41	6.30	6.65	6.45
3-Cl-3'-OH	4.31, 4.71	6.18, 6.21	6.42	6.30	6.65	6.45

compounds	H-14,14'	H-15,15'	5-Me	1,1'-Me,Me	λ_{max}^b
astaxanthin	6.30	6.68	1.95	1.21, 1.32	477
3,3'-diF	6.30	6.65	1.93	1.25, 1.32	477
3,3'-diCl	6.30	6.65	1.95	1.23, 1.30	477
3,3'-diBr	6.30	6.65	1.95	1.23, 1.29	477
3,3'-diI	6.30	6.65	1.96	1.20, 1.26	478
3-Cl-3'-OH	6.30	6.65	1.95	1.21, 1.23, 1.30, 1.32	477

^a In CDCl_3 , 200 MHz. ^b Units = nm, in acetone.

in preparation of bioactive molecules with fluorine atoms.¹² Because astaxanthin was decomposed by DAST, it was unsuitable for the preparation of 3,3'-difluorocanthaxanthin.

The first step in the preparation of 3-chloro-3'-hydroxycanthaxanthin was the protection of one hydroxy group of astaxanthin as an acetoxy group using acetyl chloride and pyridine. Treatment of 3-acetoxy-3'-hydroxycanthaxanthin with *N,N*-dimethyl-*N*-1-chloro-2-methylpropenylamine, followed by deprotection with sodium methoxide, gave 3-chloro-3'-hydroxycanthaxanthin in 61% overall yield.

All the analogues obtained as deep red solids are air/light-sensitive. The all-trans isomers of the five halogenated canthaxanthins were isolated by HPLC (YMC Carotenoid Column, 5μ , 60:25:15 $\text{CH}_3\text{CN}/\text{CH}_3\text{OH}/\text{EtOAc}$, flow rate = 1 mL/min) in dim red light. The structures of the analogues were confirmed by their ^1H and ^{13}C NMR spectra, HR-MS, and UV–vis data and by comparison with those of astaxanthin and canthaxanthin (Table 1).^{13,14} Because the reconstitution of α -crustacyanin with (3*S*,3'*S*)-, (3*R*,3'*R*)-, and (3*R*,3'*S*)-astaxanthins gave the same reconstituted α -crustacyanin, separation of the stereoisomers of the halogenated canthaxanthins was considered unnecessary for the study of α -crustacyanin.¹⁵

Reconstitutions of the five halogenated canthaxanthins with the apoprotein isolated from natural α -crustacyanin were carried out.⁶ 3,3'-Difluorocanthaxanthin was combined with the apoprotein to give a blue α -crustacyanin analogue (λ_{max} = 600 nm). The spectra (Figure 1) show that the fluorine atoms at the 3- and 3'-positions cause the blue-shifted UV–vis absorption of the α -crustacyanin analogue. It was suggested that hydrogen-bonding interactions between the

carbonyl groups of astaxanthin and hydrogen donors from the protein caused the usual red-shift of the chromophore in α -crustacyanin.⁷ The electronegative fluorine atoms at the 3- and 3'-positions should induce weaker hydrogen bonds between the carbonyl groups and hydrogens in the carotenoprotein, thus causing the smaller bathochromic shift of the reconstituted analogue.

Also, 3,3'-dichlorocanthaxanthin, 3,3'-dibromocanthaxanthin, and 3,3'-diiodocanthaxanthin were combined with the apoprotein to form red canthaxanthin–protein complexes ($\lambda_{\text{max}} \approx 500$ nm) within 1 min of mixing. The halogenated canthaxanthins were completely dissociated from the protein after 12 h at 4 °C. The results show that if the size of a substituent is larger than that of a fluorine atom, the substituents at the 3,3'-positions of astaxanthin affected the interactions between astaxanthin and the protein. This is probably due to the rigid portion of the protein host around the 3,3'-OH groups of astaxanthin. The protein surrounding for the six-membered rings of astaxanthin in α -crustacyanin

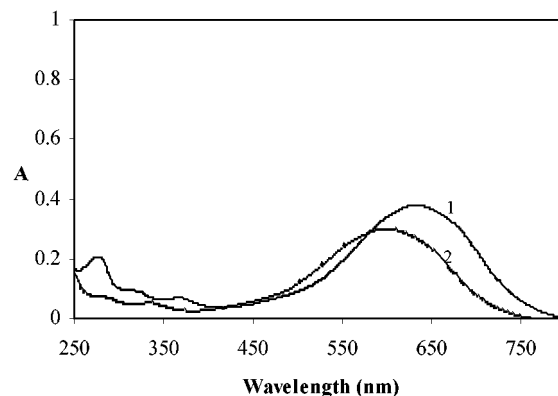


Figure 1. Absorption spectra of α -crustacyanin (1) and the α -crustacyanin analogue reconstituted with 3,3'-difluorocanthaxanthin (2) in 50 mM sodium phosphate buffer.

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seems to be very different from the relatively flexible protein pocket for the six-membered ring of retinal in bacteriorhodopsin.¹⁶

Moreover, 3-chloro-3'-hydroxycanthaxanthin was reconstituted with the apoprotein to give a similar canthaxanthin-protein complex initially. The synthetic chromophore was released from the protein complex after 12 h (Figure 2) due

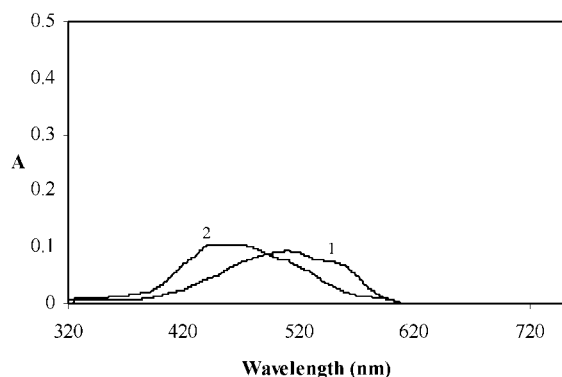


Figure 2. Absorption spectra of the 3-chloro-3'-hydroxycanthaxanthin-protein complex (1) in 50 mM sodium phosphate buffer within 1 min of mixing and the dissociated complex (2) in the same buffer after 12 h at 4 °C.

to the steric limitation. However, UV-vis absorption of the 3-chloro-3'-hydroxycanthaxanthin-protein complex ($\lambda_{\text{max}} =$

510 and 562 nm) was more red-shifted than those of the other red complexes. This was attributed to the hydroxy group of 3-chloro-3'-hydroxycanthaxanthin, which made it possible for a half of the synthetic chromophore to be fitted correctly to the apoprotein. On the basis of our results and the previous reconstitution studies of α -crustacyanin,³ we conclude that the large bathochromic shift of α -crustacyanin can only be achieved when the astaxanthin chromophore snugly fits into the apoprotein of α -crustacyanin.

In this letter, we demonstrate that the air/light-sensitive halogenated canthaxanthin analogues can be prepared from all-trans astaxanthin by convenient methods. These analogues are useful for the study of α -crustacyanin. Recently, we found an ideal method for the reconstitution of γ -crustacyanin. Detailed studies of γ -crustacyanin using these halogenated canthaxanthins and ¹⁹F NMR study of the reconstituted crustacyanin analogues are in progress.

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Supporting Information Available: Experimental procedures for preparations of the five halogenated canthaxanthins and spectroscopic data. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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